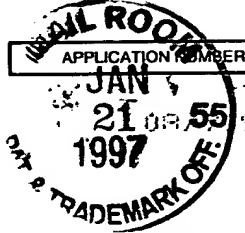




130-105 0300 #3
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
9,639	09/25/96	MATTAWAY	S N0003/7019

0222/0114

BRUCE D JOSE
BOOKSTEIN & KUDIRKA
ONE BEACON STREET
BOSTON MA 02108

3-14-97 0000
DATE MAILED:

01/14/97

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

~~Applicant must submit \$_____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).~~

☐ 2. Additional claim fees of \$_____, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$_____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box-Missing Parts."

300 SD 02/05/97 08719639

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

N0003/7013



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Shane D. Mattaway, et al.

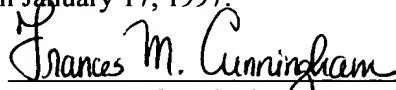
Serial No. 08/719,639

Filed: September 25, 1996

For: METHOD AND APPARATUS FOR PROVIDING CALLER
IDENTIFICATION BASED RESPONSES IN A COMPUTER
TELEPHONY ENVIRONMENT

CERTIFICATE OF MAILING

I hereby certify that the following Response to Notice to File Missing Parts is being deposited with the United States Postal Service as first class mail pursuant to 37 C.F.R. 1.8 in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, Attention: Box Missing Parts, on January 17, 1997.



Frances M. Cunningham

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

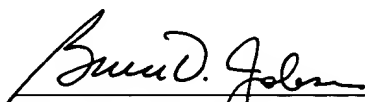
Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application-Filing Date Granted mailed January 14, 1997, enclosed herewith is a Declaration executed by the named inventors for the above-referenced application. A check for \$130.00 to cover the surcharge for this Response is enclosed.

The Commissioner is hereby authorized to charge any other fees under 37 C.F.R. §§1.16 and 1.17 that may be required, or credit any overpayment, to our Deposit Account No. 02-3038.

Respectfully submitted,



Bruce D. Jobse, Esq.
Registration No. 33,518
BOOKSTEIN & KUDIRKA, P.C.
One Beacon Street
Boston, MA 02108
(617) 367-4600



N0003/7013
PATENT

Assistant Commissioner for Patents
Box Missing Parts
Washington, DC 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the Patent Application of

Applicant: Shane D. Mattaway, et al.

Serial No.: 08/719,639

Filed: September 25, 1996

For: METHOD AND APPARATUS FOR PROVIDING CALLER IDENTIFICATION
BASED RESPONSES IN A COMPUTER TELEPHONY ENVIRONMENT

are the following papers:

- X Response to Notice to File Missing Parts of Application
- X Signed Declaration
- X Copy of Notice to File Missing Parts of Application *Filing Date Granted*

A check in the amount of \$130.00 is enclosed to cover the surcharge. The Commissioner is hereby authorized to charge any other fees under 37 C.F.R. §§1.16 and 1.17 that may be required, or credit any overpayment, to our Deposit Account No. 02-3038.

Respectfully submitted,

Bruce D. Jobse, Esq.
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